1

*IMAGE DATA PROCESSING WITH COLOR MODIFICATION*

~~Processing Image Data~~

## Background of the Invention

### 1. Field of the Invention

The present invention relates to apparatus for processing image data, a method of processing image data and a computer-readable medium.

### 2. Description of the Invention

The digitisation of image processing has enabled many new image manipulation techniques to be developed. Available digital processing effects include a process of color warping, in which color attributes of an image, or area of an image, can be modified in some way. Common uses for such a technique are compensation for camera or film color distortions and special effects.

Many image processing systems provide control over color through the use of gamma correction curves. A gamma correction curve define transfer functions that are applied to red, green and blue image data values, in such a way that a color transformation may occur. However, manipulation of such curves to produce satisfactory results is extremely difficult. In the case of creating special effects, the lack of intuitive feel of such an approach makes achieving useful results extremely difficult.

From a mathematical perspective, many systems provide color transformations defined in terms of matrices. Matrices may be used to define arbitrary transformations in color space, just as they are used in the more familiar world of computer modelling and computer-aided design. However, although such techniques theoretically provide an enhanced level of control over color space, and have the potential to facilitate useful color warping

tools, the lack of an intuitive relation between the mathematics and the effect upon the colors of an image makes these techniques difficult to use.

## Brief Summary of the Invention

5     According to an aspect of the present invention, there is provided apparatus for processing image data, comprising storing means for storing instructions, memory means for storing said instructions during execution and for storing image data, processing means for performing image processing in which said image data is processed to modify color values, and display 10    means for facilitating user interaction with said image processing, wherein said processing means is configured such that, in response to said instructions, said image data is processed by the steps of: identifying a color vector and a luminance range for said color vector; defining a color vector function in response to said identification, in which said color vector is a 15    function of luminance; processing source image data to identify luminance values; and modifying colors in response to said luminance values with reference to said color vector function.

## Brief Description of the Several Views of the Drawings

20    *Figure 1* shows an image processing system including a computer and a monitor;

*Figure 2* details components of the computer shown in *Figure 1*, including a main memory;

*Figure 3* details user operations performed on the image processing 25    system shown in *Figure 1*, including processing images;

*Figure 4* details the contents of the main memory shown in *Figure 2* as they would appear during the image processing shown in *Figure 3*;

*Figure 5* details processes performed during image processing shown in *Figure 3*, including a color warper;

*Figure 6* details the color warper process shown in *Figure 5*, and summarises the invention, including a color vector graph and steps of defining a color vector function, updating a color vector LUT and processing a source image;

*Figure 7* details the user interface presented to the user on the monitor shown in *Figure 1* during operation of the color warper process shown in *Figure 5*;

*Figure 8* details examples of the color vector graph shown in *Figure 6*;

*Figure 9* details the step of defining a color vector function shown in *Figure 6*, including steps of translating a color vector and modifying curves;

*Figures 10* and *11* detail calculations involved in the step of translating a color vector shown in *Figure 9*;

*Figure 12* details the step of modifying curves shown in *Figure 9*;

*Figure 13* details the step of updating a color vector LUT shown in *Figure 6*;

*Figure 14* details color space relationships used by the invention; and

*Figure 15* details the step of processing a source image shown in *Figure 6*.


**Best Mode for Carrying out the Invention**

The invention will now be described by way of example only with reference to the accompanying drawings.

A system for the processing of image data is illustrated in *Figure 1*. A digital tape player **101** plays and records digital tapes having a high data capacity suitable for storing many frames of high definition image data. In

4

preparation for image processing, images for a film clip are transferred from a tape in the tape player **101** to a frame store **102**. The frame store **102** comprises several high capacity hard disk drives, arranged to supply and store image data in parallel across many individual drives at once. The hard

5   disk drives are configured as a redundant array of inexpensive disks (RAID). Using the frame store **102**, it is possible to play back and record high resolution film images at any location in a clip without having to wait for a tape wind mechanism to reach the required frame. Furthermore the frame store facilitates real time play and record of image data, when the amount

10   of processing being performed is minimal, for example when previewing a stored clip.

A computer **103** facilitates the transfer of image data between the tape player **101** and the frame store **102**. The computer **103** also facilitates the modification, processing and adjustment of image data to form an

15   output clip that will eventually be stored onto digital tape. The computer is a Silicon Graphics Octane (TM). Images are previewed on a monitor **104** on which is also displayed a graphical user interface (GUI) to provide the user with several controls and interfaces for controlling the manipulation of image data. When processing image data, the user interacts with images

20   and the graphical user interface displayed on the monitor **104** via a graphics tablet **105**. For alphanumeric input, there is provided a keyboard **106**, although facilities may be provided via the graphical user interface to facilitate occasional text input using the graphics tablet **105**.

In addition to receiving image data from the tape player **101** and the

25   frame store **102**, the computer **103** may receive image and or other data over a network. The image processing system shown in *Figure 1* facilitates the manipulation of image data by a digital artist in order to achieve high

quality special effects and processing of image data.

In a typical application, film clips are digitised and stored on digital tape for transfer to the system shown in *Figure 1*. The film clips include several camera shots that are to be combined into the same scene. It is the task of the user or digital artist to combine and process this source image data into a single output clip that will be stored back onto tape for later transfer to film or video. Typical examples of this type of scene are where real images shot by a film camera are to be combined with artificially generated images and backgrounds, including scenes where actors are to be placed in computer-generated environments.

The computer **103** shown in *Figure 1* is detailed in *Figure 2*. Two MIPS R12000 central processing units (CPUs) **201** and **202** are configured to process instructions and data in parallel. Primary cache facilities are provided within each of the processors **201** and **202** in the form of a separate instruction and data cache. Both processors **201** and **202** are equipped with a one megabyte secondary cache **203** and **204**. The CPUs **201** and **202** are connected via a memory controller to a switch **206** and a main memory **207**. The main memory **207** comprises two gigabytes of dynamic RAM.

The switch **206** enables up to seven different non-blocking connections to be made between connected circuits. A graphics card **208** receives instructions from a CPUs **201** or **202** in order to render image data and graphical user interface components on the monitor **104**. A high bandwidth SCSI bridge **209** facilitates high bandwidth communications to be made with the digital tape player **101** and the frame store **102**. An I/O bridge **210** provides input output interface circuitry for peripherals, including the graphics tablet **105**, the keyboard **106** and a network. A second SCSI

bridge **211** provides interface connections with an internal hard disk drive **212**. This has a capacity of thirteen gigabytes. The second SCSI bridge **211** also provides connections to a CDROM drive **213**, from which instructions for the central processing units **201** and **202** may be installed onto the hard

5    disk **212**.

Steps performed by the user when operating the image processing system shown in *Figure 1* are detailed in *Figure 3*. At step **301** the user switches on the computer **103** and logs on to their user account. If necessary, the user proceeds to step **302** in order to install Flame

10    instructions onto the computer's hard disk **212**. Instructions may be provided on a CDROM **303** via the CDROM drive **213**, or over a network. Thereafter, control is directed to step **304**, whereafter the instructions are executed by the CPUs **201** and **202**.

If starting on a new job, it will be necessary to obtain image data

15    from film or video clips stored on digital tapes. This is done at step **305**, where input clips are transferred from the tape player **101** to the digital frame store **102**. Once a finished clip has been generated from the input clips, this is exported to tape at step **306**. Alternative forms of import and export of image data may be performed as necessary, including transfer of

20    image data over a network, transfer of image data from CDROM or transfer of data directly from a camera that may be connected to the input of a suitably equipped graphics card **208**. Once finished using the image processing system, at step **307** the user logs off from their account and the computer and other equipment are switched off if necessary.

25    The contents of the main memory **207** shown in *Figure 2*, during image processing **304**, are detailed in *Figure 4*. An operating system **401** provides common instructions required for applications running on the

computer **103**. A suitable operating system is the Irix (TM) operating system available from Silicon Graphics.

In the present embodiment, the main memory includes Flame instructions **402** for image processing. The present applicant has image

5      processing applications that include Flame (TM), and the word Flame will henceforward refer to an improved version of Flame, operating in accordance with the present invention. Flame instructions **402** include color warper instructions **403**. The instructions **402** and **403** may originate from a CDROM **303** or over a network connection, such as an Internet connection.

10      Main memory **207** further comprises a workspace **404**, used for temporary storage of variables and other data during execution of instructions **401**, **402** and **403** by the processors **201** and **202**. The main memory also includes areas for source image data **405**, a color vector function **406**, a color vector look-up table (LUT) **407** and output image data

15      **408**.

Image processing **304** shown in *Figure 3*, facilitated by instructions **402** and **403**, is detailed in *Figure 5*. At step **501** the user initiates operations to import clips of image data. A clip comprises sequential image frames that may originate from a variety of sources, such as video or film.

20      Each frame may comprise several megabytes of image data, depending upon the source and data format. The import operation results in a transfer of image data from a source medium, such as a digital tape on digital tape player **101**, to the frame store **102**.

At step **502**, image processing other than color warping is

25      performed. Many operations may be performed at step **502**, including effects such as color keying, image distortion, motion blur, and so on.

Color warping is a process in which a general shift in color is applied

to an image. Known systems provide color warping using gamma curves for red, green and blue color components. While these curves provide comprehensive control of color, the relation between the user's interaction with such curves and the resulting change in color in an output image is

5    non-intuitive.

At step **503** an image is identified for color warping, and the color vector function **406** is initialised so as to have no effect. At step **504** color warping is performed in accordance with the present invention, and in accordance with operations performed by the processors **201** and **202** in

10   response to the color warping instructions **403**. At step **505** a question is asked as to whether the color warping result is satisfactory. If not, control is directed to step **504**, and the color warp effect is modified. Eventually, after several iterations, the resulting output image will have a satisfactory appearance. Thereafter, control is directed to step **506**, where a question is

15   asked as to whether another image requires color warping. If so, control is directed to step **503**. Alternatively, definitions of color warping for an image or plurality of images is complete, and control is directed to step **507**.

At step **507** a question is asked as to whether the color warping defined at step **504** should be animated. Color warping at different frames

20   may be used to control an interpolated color warp for intermediate frames. This enables a gradually changing color warp to be applied over the duration of a clip. If an animated color warp is required, control is directed to step **508**, where intermediate frames in the clip have their images modified automatically, without the need to repeat step **504** for each

25   intermediate frame on an individual basis.

At step **509** a question is asked as to whether more image processing is required, for example, for other clips. If so, control is directed

to step **502**. Alternatively image processing is complete, and the resulting output clips may be exported to tape or other medium, at step **510**.

Color warping **504**, as performed in accordance with the present invention, is summarised in *Figure 6*. A color vector function is defined at step **601**. The color vector function defines color vectors as a continuous function of luminance. In the preferred embodiment, this continuous function is defined by nine discreet data points that can be joined by a bspline curve when intermediate data values are required. The color vector function is represented at the top of *Figure 6* in the form of a color vector graph **611** that is presented to the user during the color warping.

The color vector graph **611** has three components, one each for red **612**, green **613** and blue **614**. These components can be made to vary in their proportions as a function of luminance **615**. For any given luminance Y', the red, green and blue values add up to give a total of one. At either end of the graph **611**, the color vector is zero, and the three curves converge to a common value of one third. The vertical axis of the graph is scaled in such a way that one third appears as half the maximum color displacement.

A minimum luminance **616** and a maximum luminance **617** define a range of luminance over which a color vector will be added to the color vector function **406** that is already displayed in the graph **611**. The color vector is defined by user manipulation of a graphical user interface widget in the form of a trackball **618**. The trackball has color dimensions Pb and Pr of the Y'PbPr color space. The user can drag the centre **619** of the trackball in any direction **620**. The magnitude of this movement defines the amplitude of the color vector that is being added to the graph. The direction of this movement defines the color. As soon as the drag operation is

finished, the trackball **618** reverts to its central state, thereby enabling the user to accumulate many such vector inputs. By also modifying the luminance range using the markers **616** and **617**, the user is quickly able to build up a complex color vector function **406**.

5          The color vector function **406** defined at step **601** is defined as a set of nine points for each of red, green and blue curves shown in the graph. At step **602** the color vector function **406** is used to create a color vector look-up table **407** (LUT). The use of a look-up table **407** enables subsequent image processing to take place with minimal computation requirements. At

10        step **603** the source image **405** is processed with reference to the LUT **407** created at step **602**, resulting in the generation of an output image **408**. Finally, at step **604**, the output image is displayed on the monitor **104**, so that the user can determine whether or not the result is satisfactory, and what modifications might be required in the next iteration of the color

15        warping steps **601** to **604**.

The interface presented to the user of the monitor **104** when performing color warping **504**, is shown in *Figure 7*. The source and output images **405** and **408** are displayed in the top half of the screen. Transport controls **701** and a timeline **702** enable a user to select individual frames

20        from a clip, or to preview or render a sequence of frames or an entire clip. Other controls are provided for the control of color warp animation and the saving and loading of settings. The color vector graph **611** and the trackball **618** are at the bottom of the screen. The luminance markers **616** and **617**, in combination with the trackball **618**, facilitate quick definition of a range of

25        luminance values and a color vector to be added to the existing color vector function over the identified range **616**, **617** of luminance values.

Examples of the types of color vector functions that can be achieved

are shown in their graph form **611** in *Figure 8*. With the range markers **616,**
**617** set to luminance values of zero and one respectively, color vectors
defined by user manipulation of the trackball **618** cause a general change
to the red, green and blue color curves, as shown at **801**. With the
maximum marker **617** moved to a luminance of one quarter, changes can
then be made to the curves over a selected small range of luminance, with
no changes to the curves outside this range, as shown at **802**. After
multiple iterations of range selection and color vector addition, complex
curves can be created, as shown at **803**. The level of complexity shown at
**803**, however, can be built up extremely quickly due to the nature of the
interface provided.

The step of defining a color vector function, shown at **601** in *Figure*
*6*, is detailed in *Figure 9*. At step **901** the user identifies a luminance range
and a color vector for that range, using the range markers **616, 617** and the
trackball **618**. In order to update the color vector function **406** and also the
curves of the graph **611**, it is necessary to perform processing that
combines the user's identified luminance range **616, 617** and color vector
**620** with the existing color vector function. These calculations are
performed at steps **902, 903** and **904**.

At step **902** the color vector, expressed as Pb and Pr co-ordinates, is
translated into barycentric co-ordinates for red, green and blue. These
barycentric co-ordinates represent the difference to be added to the red,
green and blue curves of the existing color vector function. At step **903**
these red, green and blue increments are applied proportionately to existing
red, green and blue curves over the selected range of luminance values.
Function characteristics outside the selected range are not affected by
changes made inside the selected range. Furthermore, the color vector

defined by the trackball movement has maximum effect in the centre of the identified range, and practically no effect at its minimum **616** and maximum **617** points.

The curve data that is modified comprises nine data points for each color. Each point has a value, and the collection of twenty-seven data values defines the color vector function. For subsequent processing, these curves require continuous representation. At step **904**, B-Splines are created to represent the newly updated red, green and blue curves. Finally, at step **905**, the color vector graph **611** is updated so that the user has an immediate view of the effect of his or her actions on the graph, as well as on the output image. Steps **901** to **905** all take place as soon as the user makes a modification using the trackball **618**.

The translation of a color vector into barycentric co-ordinates, shown at step **902** in *Figure 9*, requires calculations illustrated in *Figure 10*. At **1001** the trackball **618** is shown in its neutral condition, with its centre mark **619** located in the middle of the PbPr color plane. The user drags the trackball towards the lower left, resulting in a displacement of the centre **619** as shown at **1002**. This displacement has PbPr co-ordinates that require definition in red, green and blue terms.

Locations of red, green and blue are shown in relation to the PbPr color plane at **1003**. The red, green and blue points are joined by lines to form a triangle. This triangle is divided into three by lines drawn from red, green and blue points to the centre at PbPr = (0,0). In *Figure 10*, each triangle is named R, G or B according to its opposite color. If the centre is dragged towards green, as shown at **1004**, triangle G increases in area. If the initial areas of the triangles are all equal, the change in areas defines the differences that will be applied to red, green and blue curves of the

color vector function. Because the area of the red, green, blue triangle is fixed, the R, G and B areas represent varying color proportions whose overall sum does not change, these areas may therefore be considered as being barycentric co-ordinates.

5    Calculations for obtaining barycentric co-ordinates in accordance with the processes illustrated in *Figure 10*, are detailed in *Figure 11*. For the purposes of *Figure 11*, the equations shown relate to points R, G and B at the red, green and blue points of PbPr color plane, and X is the centre of that plane at PbPr = (0,0). At step **1101** the area of triangle RGB is

10    calculated. At step **1102** a variable REDFACTOR is calculated by dividing the area of triangle XGB by the area of triangle RGB calculated at step **1101**. A value of one third is then subtracted from the result of this division. A similar process is repeated for the green and blue factors at steps **1103** and **1104**.

15    The proportionate modification of red, green and blue curves, shown at step **903** in *Figure 9*, is detailed in *Figure 12*. Each curve is defined by a data value at each of nine control points. At step **1201** the first or next of the nine control points is selected. At step **1202** a variable Y is given the value of luminance for that control point. The first control point will have a Y

20    value of zero, the last control point will have a Y value of one. At step **1203** a question is asked as to whether Y is in the range defined by the minimum and maximum luminance markers **616** and **617**. If not, no adjustments are required for this control point, and control is directed to step **1208**. Alternatively, control is directed to step **1204**, where a gain value is

25    calculated. This has the effect of defining a gain value of one if the control point is at the very centre of the identified luminance range **616**, **617**, and this varies in a sine curve down to zero at the limits of the identified

luminance range **616**, **617**.

At step **1205** the current value REDCTRL for the red control point is modified by multiplying the REDFACTOR calculated at step **1102** in *Figure 11* by GAIN calculated at step **1204**. A similar process is repeated for GREENCTRL and BLUECTRL at steps **1206** and **1207**. At step **1208** a question is asked as to whether another of the nine control points requires consideration. If so, control is directed to step **1201**. Alternatively, all control points for the red, green and blue curves have been updated.

Updating the color vector LUT **407**, performed at step **602** in *Figure 6*, is detailed in *Figure 13*. The steps shown in *Figure 13* relate to an embodiment in which luminance values are processed as integers in the range zero to 255. In this embodiment, an LUT having 256 entries is used. However, in an alternative embodiment, where 4096 different luminance levels are used to represent luminance from zero to one, a LUT **407** having 4096 entries can be used. At step **1301** the first or next address value, N, from zero to two hundred and fifty-five, is selected. At step **1302** a luminance value Y is calculated, being equal to N/255. At step **1303** each of the red, green and blue B-Splines created at step **904** in *Figure 9* is evaluated to determine a barycentric co-ordinate for luminance Y. This may be considered with reference to the graph **611**. Two hundred and fifty-six vertical slices are considered, and at each of these a value for red, green and blue is calculated from the respective B-Spline. These are assigned to variables U, V and W respectively.

At step **1304**, Pb and Pr co-ordinates are obtained from the barycentric co-ordinates U, V and W. This may be considered as the inverse of the process described in *Figures 10* and *11*. At step **1305**, the luminance Y' of the PbPr color plane is set to zero, and the Y'PbPr co-

ordinates are then translated into RGB co-ordinates by means of a transformation matrix that converts between these color spaces. The RGB values that are generated range from negative to positive. If the RGB values are all zero, this corresponds to no change in color. The RGB values

5          may be considered as representing a vector that, if added to an RGB pixel of the appropriate luminance, results in the appropriate level of color warp as defined by the user.

At step **1306** the LUT **407** is updated. The LUT comprises three parts, one table each for red, green and blue values. Each of these tables

10         is addressed by the value N, selected at step **1301**, and is written with the value calculated at step **1305** for the respective color. At step **1307** a question is asked as to whether another address needs to be considered. If so, control is directed to step **1301**. Alternatively, this completes the LUT update process **602**.

15         *Figure 14* details the relationship between RGB and Y'PbPr color spaces. Pixel data for images **405** and **408** is stored in RGB form, with each pixel being defined by an intensity value for red, green and blue components. In Y'PbPr color space, Y' is a dimension of pure luminance, that may be expressed as a range of fractional values from zero to one. Pb

20         and Pr are pure color dimensions, with Pb being closely related to the blue of RGB, and Pr being closely related to green. Pb and Pr range across negative and positive values, and these may be considered as varying from minus one to plus one. However, these values are arbitrary and depend upon implementation.

25         Y'PbPr color space may be considered as having a cylindrical shape with a central axis Y', that is a vector extending out from the origin of RGB color space, as shown at **1401**. Conversion between these color spaces

16

may be achieved by a matrix, and the parameters required for a transformation from RGB to Y'PbPr are detailed at **1402**. Transformation from RGB to Y'PbPr may be assigned to a matrix A. The inverse of A, $A^{-1}$, provides transformation from Y'PbPr to RGB. There is an intuitive relationship between these color spaces for colors of pure black and pure white, as shown at the bottom of *Figure 14*. Matrix $A^{-1}$ is used in step **1305** to convert from Y'PbPr color space to RGB color space.

Processing the source image, performed at step **603** and shown in *Figure 6*, is detailed in *Figure 15*. At step **1501** the first or next source image pixel is selected. This pixel has values (Rs,Gs,Bs). At step **1502** the luminance of this pixel is calculated by applying the equation for Y' shown at **1402** in *Figure 14*. At step **1503** an address value N is calculated, and at step **1504** this address value is used to access a data value in each of the red, green and blue tables of the LUT **407**. These values are added to Rs, Gs and Bs to obtain the RGB data for the output image pixel. At step **1505** a question is asked as to whether another pixel requires processing. If so, control is directed to step **1501**. Alternatively, all source image pixels have been processed, and the result is a new output image **408**.